



An initiative of the EICC and GeSI

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Conflict Minerals Reporting Template (CMRT)

English

Revision 5.01
June 21, 2017

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

[Link to Terms & Conditions](#)

Mandatory fields are noted with an asterisk (*). Consult the instructions tab for guidance on how to answer each question.

Company Information

Company Name (*):	Henkel Corporation	
Declaration Scope or Class (*):	A. Company	
Description of Scope:	North American operations including US, Canada and Puerto Rico manufacturing.	
Company Unique ID:		
Company Unique ID Authority:		
Address:		
Contact Name (*):	Greg Skluzacek	
Email - Contact (*):	greg.skluzacek@henkel.com	
Phone - Contact (*):	952-486-6366	
Authorizer (*):	Greg Skluzacek	
Title - Authorizer:	Purchasing Manager	
Email - Authorizer (*):	greg.skluzacek@henkel.com	
Phone - Authorizer (*):	952-486-6366	
Effective Date (*):	5-Jul-2017	

Answer the following questions 1 - 7 based on the declaration scope indicated above

1) Is any 3TG intentionally added or used in the product(s) or in the production process? (*)	Answer	Comments
Tantalum	No	
Tin (*)	Yes	
Gold	No	
Tungsten (*)	Yes	

2) Does any 3TG remain in the product(s)? (*)	Answer	Comments
Tantalum		
Tin (*)	Yes	
Gold		
Tungsten (*)	Yes	

3) Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC term, see definitions tab) (*)	Answer	Comments
Tantalum		
Tin (*)	Yes	The EICC/CFSI allows for approved concentrates to be responsibly sourced from the DRC and surrounding covered areas. Smelters that source these approved concentrates from these areas are still considered "approved level 3 smelters" for CFSI and reporting purposes. Henkel's supplier's policies follows the Dodd Frank legislation and corresponding CFSI approved smelters, and therefore does source material from approved level 3 smelters.
Gold		
Tungsten (*)	No	Based on a review of supplier declaration(s).

4) Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources? (*)	Answer	Comments
Tantalum		
Tin (*)	No	Based on a review of supplier declaration(s).
Gold		
Tungsten (*)	Yes	100% Recycled / scrap tungsten used by supplier. Material is "DRC Conflict Free" per regulation

5) What percentage of relevant suppliers have provided a response to your supply chain survey? (*)	Answer	Comments
Tantalum		
Tin (*)	100%	
Gold		
Tungsten (*)	100%	

6) Have you identified all of the smelters supplying the 3TG to your supply chain? (*)	Answer	Comments
Tantalum		
Tin (*)	Yes	Based on a review of supplier declaration(s).
Gold		
Tungsten (*)	No	Original smelter is not identified as 100% Recycled / scrap tungsten is used by supplier. Material is "DRC Conflict Free" per regulation



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7) Has all applicable smelter information received by your company been reported in this declaration? (*)

	Answer	Comments
Tantalum		
Tin (*)	Yes	
Gold		
Tungsten (*)	Yes	Original smelter is not identified as 100% Recycled / scrap tungsten is used by supplier. Material is "DRC Conflict Free" per regulation.

Answer the Following Questions at a Company Level

Question	Answer	Comments
A. Have you established a conflict minerals sourcing policy? (*)	Yes	Company statement on website henkel.na.com
B. Is your conflict minerals sourcing policy publicly available on your website? (Note - If yes, the user shall specify the URL in the comment field.) (*)	Yes	www.henkelna.com
C. Do you require your direct suppliers to be DRC conflict-free? (*)	Yes	
D. Do you require your direct suppliers to source the 3TG from smelters whose due diligence practices have been validated by an independent third party audit program? (*)	Yes	Henkel uses the CFSI Smelter list. Direct suppliers utilizing 100% recycled / scrap materials are not required to use third party audit program as original smelter cannot be identified. Material is deemed "DRC Conflict Free" per regulation.
E. Have you implemented due diligence measures for conflict-free sourcing? (*)	Yes	Annual data collection
F. Does your company conduct Conflict Minerals survey(s) of your relevant supplier(s)? (*)	Yes, in conformance with IPC1755 (e.g., CMRT)	
G. Do you review due diligence information received from your suppliers against your company's expectations? (*)	Yes	
H. Does your review process include corrective action management? (*)	Yes	
I. Is your company required to file an annual conflict minerals disclosure with the SEC? (*)	No	